

## BEST AVAILABLE COPY

PTO/SB/01 (08-01)

Approved for use through 07/31/2008. OMB 0881-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES</b>		Docket Number (Optional) <b>AMS-83-0199</b>	
I hereby certify that this correspondence is being filed to the Commissioner for Patents: on <u>06/09/2004</u> Signature <u><i>Samuel A. Kassafy</i></u> Typed or printed name <u>Samuel A. Kassafy</u>		In re Application of <b>SUNDARESAN, Noolakantan</b> Application Number <u>09/488,470</u> Filed <u>01/20/2000</u> For <u>"System and Method for Integrating On-Line User Rating of Businesses with Search Engines"</u> Art Unit <u>2172</u> Examiner <u>Hung Q. Pham</u>	
Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.			
The fee for this Notice of Appeal is (37 CFR 1.17(b))		\$ <u>330.00</u>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: <input type="checkbox"/> A check in the amount of the fee is enclosed. <input type="checkbox"/> Payment by credit card. Form PTO-2036 is attached. <input checked="" type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet. <b>(Deposit Account No. 09-0441)</b> <input type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <u>                    </u> . I have enclosed a duplicate copy of this sheet. <input type="checkbox"/> A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.		<b>RECEIVED</b> <b>JUN 09 2004</b> <b>Technology Center 2100</b>	
<p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2036.</p> <p>I am the</p> <input type="checkbox"/> applicant/inventor. <input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) <input type="checkbox"/> attorney or agent of record. Registration number <u>                    </u> <input checked="" type="checkbox"/> attorney or agent acting under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) <u>32,247</u>			
		<u><i>Samuel A. Kassafy</i></u> Signature <u>Samuel A. Kassafy</u> Typed or printed name <u>408-323-5111</u> Telephone number <u>06/09/2004</u> Date	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
<input checked="" type="checkbox"/> Total of <u>1</u> forms are submitted.			

This collection of information is required by 37 CFR 1.191. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.14. The collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Adjustment date: 12/02/2004 SDIRETA1  
 09/22/2004 WHEREDIT 00000001 090441 09488470  
 01 FC:1401 330.00 BR

PAGE 4/5 \* RCV DAT 6/9/2004 11:41:27 PM [Eastern Daylight Time] \* SVR USPTO-EF-XF-01 \* DNS 8729385 \* CSD 4083235112 \* DURATION (mm:ss) 02:44

01 FC:1401 330.00 DA  
 09/22/2004 WHEREDIT 00000001 090441 09488470

Adjustment date: 12/02/2004 SDIRETA1  
 09/22/2004 WHEREDIT 00000003 500219 09488470  
 01 FC:1402 330.00 CR

12/02/2004 SDIRETA1 00000013 090441 09488470  
 01 FC:1401 330.00 DA

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 330**Complete if Known**

Application Number	09/488,470
Filing Date	01/20/2000
First Named Inventor	SUNDARESAN, Neelakantan
Examiner Name	Hung Q. Pham
Art Unit	2172
Attorney Docket No.	AM9-99-0199

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SEP 01 2004

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	09-0441
Deposit Account Name	International Business Machines

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>(\$)</b> 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Statement	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

BOARD OF PATENT APPEALS AND INTERFERENCE

**SUBMITTED BY**

Name (Print/Type)	Samuel A. Kassaly	Registration No. (Attorney/Agent)	32,247	Telephone	408-323-5111
Signature		Date	08/21/2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)  
AM9-89-0199

In re Application of <b>SUNDARESAN, Neelakantan</b>	
Application Number <b>09/488,470</b>	Filed <b>01/20/2000</b>
For <b>"System and Method for Integrating On-Line User Ratings of Businesses with Search Engines"</b>	
Group Art Unit <b>2172</b>	Examiner <b>Hung O. Pham</b>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1))
- ☐ Two months (37 CFR 1.17(a)(2))
- ☐ Three months (37 CFR 1.17(a)(3))
- ☐ Four months (37 CFR 1.17(a)(4))
- ☐ Five months (37 CFR 1.17(a)(5))

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Technology Center 2100

\$ 110.00  
\$  
\$  
\$  
\$

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_.
- ☐ A check in the amount of the fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-0219.  
I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

- ☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ attorney or agent of record.
- ☐ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

08/21/2004

Date

WHERE DIT 00000002 500219 09488470

110.00 DA

Signature

Samuel A. Kassatly

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Technology Center 2100

2004 SEP 24 PM 3:37  
BOARD OF PATENT APPEALS  
AND INTERFERENCES

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09/22/200  
01 FC:125

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**SAMUEL A. KASSATLY**Attorney-at-Law  
Technology and Intellectual Property Matters20690 View Oaks Way  
San Jose, CA 95120  
Telephone: (408) 323-5111  
Facsimile: (408) 521-0111

orbsak@pacbell.net

**FACSIMILE TRANSMITTAL**

DATE: October 23, 2004	FROM: Samuel A. Kassatly
TO: ATTENTION: REFUND SECTION,	TELEPHONE NO.: (408) 323-5111
ACCOUNTING DIVISION, OFFICE OF FINANCE	FACSIMILE NO.: (408) 323-5112
U.S. Patent and Trademark Office	ATTY DOCKET NO.: ARC920030066US1 (IBM-169)
FACSIMILE NO.: 703-308-5077	SUBJECT: Request for Refund
	Deposit Account: 500219

Title: "System and Method for Integrating On-Line User Ratings of  
Businesses with Search Engines"

Applicant(s): SUNDARESAN, Neelakantan

Attorney Docket No.: AM9-99-0199

Serial No.: 09/488,470

Examiner: Hung Q. Pham

Filed: 01/20/2000

Art Unit: 2172

TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE 5

Respectfully submitted,

Samuel A. Kassatly  
Reg. No. 32,247

Enclosure: Request for Refund

**CERTIFICATE OF FAXING**I hereby certify that this correspondence is being faxed to the U.S. Patent and Trademark Office,  
to fax No. 703-308-5077, on October 23, 2004.

Samuel A. Kassatly

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Sir:

**REQUEST FOR REFUND**  
(Improper charge of Deposit Account)

**1. REFUND REQUEST**

This is a request for a refund with respect to the charge to Deposit Account 500219 shown on the enclosed statement dated June 2004 for the above-identified

☒ application                      ☐ patent

☒ A copy of the monthly statement in which the error referred to occurs, accompanies this request.

	<b>AMOUNT OF REFUND REQUESTED</b>
<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(c))	_____
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(c))	_____
<input type="checkbox"/> extension of term	_____
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input type="checkbox"/> excess dependent claims	_____
<input type="checkbox"/> excess independent claim	_____
<input type="checkbox"/> issue fee	_____
<input type="checkbox"/> petition fee	_____
<input type="checkbox"/> patent maintenance fee	_____
<input type="checkbox"/> first maintenance fee	_____
<input type="checkbox"/> second maintenance fee	_____
<input type="checkbox"/> third maintenance fee	_____
<input type="checkbox"/> patent maintenance fee surcharge	_____
<input type="checkbox"/> Assignment Recordation	_____
<input checked="" type="checkbox"/> other: Filing fee for Appeal Brief _____	<b>\$330</b>
_____	_____
_____	_____
<b><u>TOTAL REFUND REQUESTED</u></b>	<b><u>\$330</u></b>

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**II. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

Enclosed please find a copy of the fee transmittal form in the subject patent application clearly indicating that the fee is to be charged to the following deposit account: 09-0441.

**III. MANNER OF REFUND**

Please make refund by

☒ [X] crediting Account No. 500219 - Samuel A. Kassatly Law Office

☐ [ ] refunding payment

Respectfully submitted,



Samuel A. Kassatly (Reg. No. 32,247)

October 6, 2004

Samuel A. Kassatly Law Office  
20690 View Oaks Way  
San Jose, CA 95120  
Telephone no. (408) 323-5111  
Facsimile no. (408) 521-0111  
Attorney Docket No. IBM-013.ARC

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PTO/SB/17 (10-03)  
 Approved for use through 07/31/2006. OMB 0651-0032  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# **FEE TRANSMITTAL**

## **for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$) 330

**Complete if Known**

Application Number 09/488,470  
 Filing Date 01/20/2000  
 First Named Inventor SUNDARESAN, Neelakantan  
 Examiner Name Hung Q. Pham  
 Art Unit 2172  
 Attorney Docket No. AM9-99-0199

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number: 09-0441

Deposit Account Name: International Business Machines

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
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1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

**SUBTOTAL (1)**

(\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = 0	X \$18 = 0	
Multiple Dependent	-3** = 0	X \$86 = 0	
		\$290 = 0	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
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1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
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1402 330	2402 165	Filing a brief in support of an appeal	330
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
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1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$) 330

**SUBMITTED BY**

Name (Print/Type) Samuel A. Kassatly

Registration No. (Attorney/Agent)

32,247

(Complete if applicable)

Telephone 408-323-5111

Signature

Date

08/21/2004

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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

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# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

SAMUEL A KASSATLY

FINA

20690 VIEW OAKS WAY

SAN JOSE CA 95120

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

Account No.	500219
Date	9-30-04
Page	1

PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
9	22	04	2	09488470	AM9-99-0199	1251	110.00	2813.00
9	22	04	3	09488470	AM9-99-0199	1402	330.00	2483.00
<p><i>Contested Amount</i></p>								
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					2923.00	440.00	0.00	2483.00